



DIVERSIFIED RESOURCES, LLC

Financial Planning Advisors

Rev. 04/2021

Facts	WHAT DOES DIVERSIFIED RESOURCES DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect, and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security Number, Driver’s License Number • Income, Financial Net Worth • Education History, Employment Information • Investment Experience • Account Transactions • Assets <p>When you are <i>no longer</i> our customer, we will continue to hold your information and share it as described in this notice.</p>	
How?	All financial companies need to share customers’ personal information to perform their services and run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Diversified Resources, LLC chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does Diversified Resources share?	Can you limit this sharing?
For our everyday business purposes - Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations or report to credit bureaus	Yes	No
For our marketing purposes - To offer our products and services to you.	Yes	Yes
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes - Information about your transactions and experiences (we have no affiliates)	N/A	N/A
For our affiliates’ everyday business purposes - Information about your creditworthiness (we have no affiliates)	N/A	N/A
For our affiliates to market to you (we have no affiliates)	N/A	N/A
For our non-affiliates to market to you	No	We don’t share
To Limit Our Sharing	<p>Email Karen@DivRes.com Call (401) 941-1500 or Visit us online: www.DivRes.com</p> <p>Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>	
Questions?	Email Karen@DivRes.com Call (401) 941-1500 or Visit us online: www.DivRes.com	

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Who we are	
Who is providing this notice?	Diversified Resources, LLC
What we do	
How does Diversified Resources protect my personal information?	To protect your personal information unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards (such as password protection or encryption) and restricting access to physical files (such as locked cabinets and building).
How does Diversified Resources collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Deposit money • Seek advice about your investments • Enter into an investment advisory contract • Provide us with information about your investment or retirement portfolio or earnings <p>We may also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your account – unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership and/or control. They can be financial and nonfinancial companies. We have no affiliates.
Non-affiliates	<p>Companies not related by common ownership and/or control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> • Non-affiliates we share with include accountants, attorneys, and other business professionals as required for tax purposes, tax planning, estate planning, or asset protection planning purposes.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or service to you. We do not jointly market.
Other Important Information	
<p>Information for California, North Dakota, and Vermont Customers</p> <p>In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.</p>	