



DIVERSIFIED RESOURCES, LLC

Financial Planning Advisors

Rev. 06/2020

FACTS

WHAT DOES DIVERSIFIED RESOURCES, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Risk tolerance and investment experience
- Account transactions and account balances
- Retirement assets and employment information.

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Diversified Resources, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Diversified Resources share?	Can you limit this sharing?
For our everyday business purposes - Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – To offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For non affiliates to market you	No	We don't share

Questions?

Call (401)941-1500 or email Karen@DivRes.com

Who we are	
Who is providing this notice?	Diversified Resources, LLC
What we do	
How does Diversified Resources protect my personal information?	To protect your personal information unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We take precautions to ensure the information we collect about you is protected and is accessed only by authorized individuals who are trained regarding Diversified Resources' privacy and information safeguarding practices.
How does Diversified Resources collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> ▪ Open an account or seek financial advice ▪ Direct us to sell your securities or direct us to buy securities ▪ Provide employment information
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ Diversified Resources has no affiliates.
Non affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ Diversified Resources does not share with non affiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> ▪ Diversified Resources doesn't jointly market.
Other Important Information	